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UNITED STATES DISTRICT COURT  
NORTHERN DISTRICT OF CALIFORNIA  
OAKLAND DIVISION

IN RE LITHIUM ION BATTERIES  
ANTITRUST LITIGATION

Case No. 13-MD-02420 YGR (DMR)

MDL No. 2420

This Documents Relates to:

TENTH REPORT ON CLAIMS  
STATUS

ALL INDIRECT PURCHASER ACTIONS

DATE ACTION FILED: Oct. 3, 2012

Indirect Purchaser Plaintiffs (IPPs) hereby submit this Tenth Report on Claims Status regarding the status of the administration and auditing for claims on the \$113.45 million fund recovered on behalf of the indirect purchaser class.

The deadline for class members to submit claims for all settlements in this case closed on December 15, 2020.

As of January 27, 2021, the claims administrator received 1,103,946 claims. This represents a total of 117,436,434 devices. The devices are broken out across products as follows:

| Type of Device              | Number of devices claimed |
|-----------------------------|---------------------------|
| Personal computer batteries | 47,165,423                |
| Mobile phone batteries      | 41,661,399                |
| Camcorder batteries         | 8,131,943                 |
| Power tool batteries        | 20,477,669                |
| <b>TOTAL</b>                | <b>117,436,434</b>        |

The claims administrator has identified which claims come from repealer states versus non-repealer states for the purposes of following this Court's allocation plan. That division is as follows:

|                      |                  |
|----------------------|------------------|
| Repealer states      | 577,500          |
| Non-repealers states | 526,446          |
| <b>TOTAL CLAIMS</b>  | <b>1,103,946</b> |

The claims administrator has begun the auditing process to ensure the validity of some claims. Emails have been sent to the following number of claimants requesting the following further information:

- Further documentation/information has been requested for 176 high value claims.
- For 6,192 claims, no purchase values were provided (the number of units purchased). This impacts 100 individual filers, and 6,092 claims filed by third-party filers (aggregators or

companies who purport to have assignment of claims). Further information is sought to validate these claims.

- For 6,570 claims made by third-party filers, further information or documentation has been requested demonstrating they have proof of authorization to file the claim.

It is estimated that four months is needed to complete this auditing process. Because of the pending appeals filed by three objectors to the Ninth Circuit Court of Appeals, no distribution to the class is possible at this time.

DATED: January 29, 2021

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